

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Douglas F. Connor, et al.

Filing Date: November 5, 2001

Entitled: GENERATING A RISK ASSESSMENT REGARDING A
SOFTWARE IMPLEMENTATION PROJECT

jc857 U.S. PTO
09/992283
11/05/01



BOX: PATENT APPLICATION

Assistant Commissioner

for Patents

Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been conducted, that the references are material to the patentability of the present application, or that these references qualify as prior art.

Respectfully submitted,
BAKER BOTTS L.L.P.



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Information Disclosure Citation In an Application	Application No.		Applicant(s)	
	Docket No. 021953.1055	Group Art Unit	Douglas F. Connor, et al	

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
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	E						
	F						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	G							
	H							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
I	Howard Solomon, "Year 2000 bug embedded deep in hidden places", Computing Canada, 1 page.	03/23/98
J	Mark Keil, Paul E. Cule, Kalle Lyytinen, and Roy C. Schmidt, "A Framework for Identifying Software Project Risks," November 1998/Vol. 41. No. 11, Communications of the ACM , 8 pages.	11/98
K	Chris Vandersluis, "Plan is key to avoiding IT project failures," Computing Canada, 1 page.	04/28/97
L	David J. Gardner CMC, "How to Avoid IT Project Failures," Consulting To Management, Volume 11, No. 1, May 2000, 3 pages.	05/00
M	Mark Keil and Daniel Robey, "Turning Around Troubled Software Projects: An Exploratory Study of the Deescalation of Commitment to Failing Courses of Action," Journal of Management Information Systems/ Spring 1999, Vol. 15, No. 4, pp.63-87, © 1999 M.E. Sharpe, Inc., 25 pages.	Spring 1999
N	Christopher Deephouse, Tridas Mukhopadhyay, Dennis R. Goldenson, and Marc I. Kellner, "Software Processes and Project Performance," Journal of Management Information Systems/ Winter 1995-96, Vol. 12 No. 3, pp. 187-205, Copyright © 1996 M.E. Sharpe, Inc., 19 pages.	Winter 1995-96
O	K.D. Maxwell, R.J. Kusters, "Software project control and metrics," Information And Software Technology, Information and Software Technology 42 (2000) 963-964, © 2000 Elsevier Science B.V. All rights reserved, 2 pages.	© 2000
P	Kurt R. Linberg, "Software developer perceptions about software project failure; a case study," The Journal of Systems and Software 49 (1999) 177-192, Walden University, 6328 Eden Prairie Road, Eden Prairie, MN 55346, USA Received 7 November 1998; received in revised form 29 November 1998; accepted 10 February 1999, © 1999 Elsevier Science Inc. All rights reserved, 16 pages	© 1999

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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